

# 术后早期低氧与术后并发症的关系研究进展

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**[摘要]** 术后早期低氧为全身麻醉后常见的不良事件, 与患者的病理生理改变密切相关, 可延长麻醉后恢复室(PACU)及重症监护室(ICU)的停留时间, 且与术后1年死亡率增高相关。然而, 由于低氧定义的差异、研究人群的异质性以及术后监测方法的不同, 文献报道术后早期低氧的发生率和持续时间存在较大差异。本文通过系统回顾相关文献, 阐述术后早期低氧的定义、相关特征性指标, 探讨术后早期低氧与术后呼吸系统并发症、心血管系统并发症、手术部位感染的相关性, 通过分析现有研究证据, 探索有预警价值的术后早期低氧特征, 以期为该领域的研究提供参考。

**[关键词]** 术后早期低氧; 并发症; 呼吸系统; 心血管系统; 手术部位感染

## Research progress on the relationship between early postoperative hypoxemia and complications

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**[Abstract]** Early postoperative hypoxemia, a common adverse event following general anesthesia, is closely associated with pathophysiological changes in patients, prolonging stays in the post-anesthesia care unit (PACU) and intensive care unit (ICU), and correlating with increased one-year postoperative mortality rate. Nevertheless, due to differences in definitions of hypoxemia, heterogeneity of study populations, and variations in postoperative monitoring methods, the reported incidence and duration of early postoperative hypoxemia in the literature vary significantly. This review systematically summarizes relevant literature to elucidate the definition and characteristic indicators of early postoperative hypoxemia, and explores its correlations with postoperative respiratory complications, cardiovascular complications, and surgical site infections. By analyzing existing research evidence, this review aims to identify early-warning indicators of postoperative hypoxemia with predictive value, thereby providing a reference for future research in this field.

**[Key words]** postoperative hypoxemia; complications; respiratory system; cardiovascular system; surgical site infection

术后早期低氧(通常定义为术后72 h内发生的低氧<sup>[1-2]</sup>)是全身麻醉后常见的不良事件<sup>[3]</sup>, 其发生率因手术类型的不同而存在差异(5%~65.5%), 原因主要为手术人群、对低氧的定义标准(严重程度和持续时间)及监测方法不同<sup>[1]</sup>。一项观察性研究发现, 21%~55%的患者术后48 h内出现不同程度的低氧<sup>[4]</sup>。Sun等<sup>[5]</sup>对833例非心脏手术患者的脉搏血氧饱和度

(oxygen saturation, SpO<sub>2</sub>)进行连续监测, 发现低氧的发生频率和严重程度较高, 术后48 h内至少37%的患者出现持续1 h的失饱和状态(SpO<sub>2</sub><90%), 3%的患者甚至出现持续30 min以上的严重低氧(SpO<sub>2</sub><80%)。一项前瞻性队列研究对结直肠癌患者术后SpO<sub>2</sub>进行连续监测, 发现36%的患者在术后48 h或出院前出现持续1 h以上的低氧(SpO<sub>2</sub><90%)<sup>[6]</sup>。由此

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可见,术后早期低氧的发生率较高。不同程度或持续时间的低氧与不同临床结局的关系是临床医师关心的重点问题。2020年的一项研究发现,术后1~3 d发生 $\text{SpO}_2 < 85\%$ (无论持续多久)的患者术后1年死亡率高于未发生者<sup>[1]</sup>,提示术后早期的严重低氧可能与远期预后不良有关。本文综述了术后早期低氧的诊断标准、临床特征及与相关并发症的关联性,以期为该领域的研究提供参考。

## 1 术后早期低氧的阈值与特征

术后早期低氧的诊断主要基于 $\text{SpO}_2$ 或动脉血氧分压(partial pressure of arterial oxygen,  $\text{PaO}_2$ )的阈值,通常以 $\text{SpO}_2 < 90\%$ 或 $\text{PaO}_2 < 60 \text{ mmHg}$ 为标准<sup>[7-9]</sup>;也有研究以氧合指数 $[\text{PaO}_2/\text{吸入氧浓度}(\text{fraction of inspired oxygen, } \text{FiO}_2)] < 300 \text{ mmHg}$ 为诊断标准<sup>[7,10-14]</sup>;而欧洲麻醉学会(European Society of Anesthesiology, ESA)和欧洲重症监护医学会(European Society of Intensive Care Medicine, ESICM)均将低氧血症定义为 $\text{PaO}_2/\text{FiO}_2 \leq 300 \text{ mmHg}$ <sup>[4]</sup>。但目前对术后早期低氧严重程度的划分标准尚未达成共识<sup>[15]</sup>。考虑到低氧严重程度和持续时间的不同,有研究建议从持续时间、发生频率以及患者基础 $\text{SpO}_2$ 值等多个维度进行综合评估,以提高诊断的准确性并降低偶然性误差<sup>[16-19]</sup>。基于此,目前对术后早期低氧的特征描述主要涵盖阈值、发生次数、持续时间以及基线下降比例等多项指标。

**1.1 按脉搏 $\text{SpO}_2$ 或氧分压阈值定义术后早期低氧**  
早期研究中术后早期低氧通常被定义为 $\text{SpO}_2 < 90\%$ ,并根据具体数值范围进行严重程度分级<sup>[20-22]</sup>。Moller等<sup>[20]</sup>提出了三级分类标准,将术后 $\text{SpO}_2$ 在86%~90%定义为轻度低氧,81%~85%为中度低氧,<81%为重度低氧;该研究纳入296例全身麻醉患者,发现53%的患者至少经历一次持续时间长达34.6 min的轻度低氧事件,34%发生中度低氧,20%发生重度低氧。关于手术部位与术后低氧相关性的研究则采用不同的分级标准,即将 $\text{SpO}_2 \leq 90\%$ (86%~90%)定义为低氧,而 $\text{SpO}_2 \leq 85\%$ 则被归类为重度低氧<sup>[21]</sup>。一项多中心随机对照研究将低氧定义为吸入空气状态下 $\text{PaO}_2 < 60 \text{ mmHg}$ 或 $\text{SpO}_2 < 90\%$ ,其中轻度低氧可通过补充氧气改善;将需无创或有创通气支持且 $\text{PaO}_2 < 60 \text{ mmHg}$ 或 $\text{SpO}_2 < 90\%$ 定义为重度低氧<sup>[23]</sup>。Belcher等<sup>[24]</sup>研究非心脏手术患者术后镇痛方案对低氧的影响时,采用了更为严格的标准,即将术后48 h内 $\text{SpO}_2 < 95\%$ 、 $< 90\%$ 及 $< 85\%$ 分别作为不同严重程度低氧的阈值。以上结果表明, $\text{SpO}_2 < 90\%$ 是采用较多的术后低氧指征。

**1.2 按发生频率描述术后早期低氧** Bartels等<sup>[1]</sup>探

讨术后3 d内低氧与术后1年死亡率的关系,将术后早期低氧定义为从麻醉后恢复室(post-anesthesia care unit, PACU)至术后第3天期间任何时间发生过 $\geq 1$ 次 $\text{SpO}_2 < 85\%$ ;该研究共纳入43 011例患者,结果显示术后第1、2、3天分别有7179例(66.9%)、5340例(49.8%)、3455例(32.3%)发生至少一次低氧事件;与未发生术后低氧的患者相比,发生低氧的患者术后1年死亡率明显升高<sup>[1]</sup>,提示早期低氧与不良临床结局相关。

**1.3 按持续时间描述术后早期低氧** Gill等<sup>[18]</sup>的研究探讨了围手术期低氧与心肌缺血事件的关系,将低氧事件定义为 $\text{SpO}_2 < 90\%$ 且持续时间 $\geq 30 \text{ s}$ ;结果显示,术前合并心血管疾病的11例患者中,术后有10例发生了低氧事件,平均持续时间为9.6 min,较术前延长2.7 min;患者手术前后最低 $\text{SpO}_2$ 均 $< 84\%$ ;进一步分析最低 $\text{SpO}_2$ 与心肌缺血事件的同步性,发现当 $\text{SpO}_2 < 85\%$ 时低氧事件与心肌缺血事件同时发生的比例明显高于 $\text{SpO}_2 \geq 85\%$ 时。此外,有研究表明,麻醉恢复期患者的体位与术后低氧血症的发生具有明显相关性,该研究将低氧定义为 $\text{SpO}_2 < 90\%$ 且持续时间超过10 s,将重度低氧定义为 $\text{SpO}_2 < 85\%$ 并持续10 s以上<sup>[10]</sup>。Anduaem等<sup>[25]</sup>的前瞻性研究将术后早期低氧定义为 $\text{SpO}_2 < 90\%$ 且持续时间至少3 min,结果显示,入PACU后前3 min有24.5%的患者发生低氧,1 h后仍有18.4%的患者处于低氧状态。

**1.4 按基线下降比例描述术后早期低氧** Rosenberg等<sup>[17,19]</sup>提出了突发性低氧的明确定义,即 $\text{SpO}_2$ 较基线水平下降 $\geq 5\%$ 且持续时间 $\geq 2 \text{ min}$ ;他们发现,患者的最低 $\text{SpO}_2$ 中位数呈进行性下降的趋势:术前夜间为86%,术后第1天夜间降至83%,术后第2天夜间进一步降至78%;接受大型腹部手术的患者术后平均 $\text{SpO}_2$ 值和最低 $\text{SpO}_2$ 值均明显降低,而当 $\text{SpO}_2 < 80\%$ 时,术后低氧事件的发生频率明显增高。Gögenur等<sup>[26]</sup>的观察性研究将夜间间歇性低氧定义为 $\text{SpO}_2$ 较基线水平下降 $\geq 5\%$ 且持续时间为30 s至2 min;结果显示,在87个监测夜晚中,患者的 $\text{SpO}_2$ 中位数为95%(范围84%~100%),最低 $\text{SpO}_2$ 值为81%;共观察到78个夜晚 $\text{SpO}_2 < 90\%$ ,53个夜晚 $\text{SpO}_2 < 85\%$ ,32个夜晚 $\text{SpO}_2 < 80\%$ ;在低氧发作期间,4%的患者同时出现了ST段改变,提示心肌缺血的发生。

综上,术后早期低氧的定义和评估标准因研究目的和临床需求的不同而存在明显差异。尽管 $\text{SpO}_2 < 90\%$ 被广泛接受为基本定义,但针对术后早期低氧严重程度、发生频率、持续时间以及基线下降比例等维度进行综合评估,能够更全面地反映低氧事件的临床意义。

## 2 术后早期低氧与预后不良的关系

全身麻醉及大手术后第2、3天夜间是术后低氧事件的高发期,持续性低氧或偶发性严重缺氧对患者术后器官功能可产生严重的不良影响<sup>[19,27]</sup>。研究发现,大型非心脏手术患者术后早期低氧相关并发症的发生率高达19%<sup>[28]</sup>。严重且长时间的低氧状态( $\text{SpO}_2$ 明显降低)可引起脑损伤、心肌缺血、心律失常、肺部感染、手术切口愈合不良和吻合口瘘等严重并发症,从而延长住院时间,增加住院费用<sup>[5,16,24,29-32]</sup>。即使术后早期短暂性低氧也可能增加患者远期不良事件发生的风险<sup>[33]</sup>。基于此,以下系统探讨术后早期低氧与呼吸系统并发症、心血管系统并发症以及手术部位感染(surgical site infection, SSI)之间的相关性。

**2.1 呼吸系统并发症** 术后肺部并发症(postoperative pulmonary complications, PPCs)中较常见的是肺不张和胸腔积液<sup>[34]</sup>,急性呼吸窘迫综合征(acute respiratory distress syndrome, ARDS)和气胸相对少见<sup>[35]</sup>。研究发现,PPCs的发生率为6%~80%<sup>[36-37]</sup>,主要集中于术后第1周<sup>[38-40]</sup>,可显著延长氧疗时间,其中ARDS和术后机械通气(postoperative mechanical ventilation, POMV)为早期死亡的重要危险因素<sup>[16]</sup>。即使是症状相对较轻的肺部并发症如肺炎、肺不张或胸腔积液等,在成功治疗后仍可能导致患者长期生存率降低<sup>[41]</sup>。无PPCs患者的死亡率仅为0.2%~3.0%,而合并PPCs患者的死亡率则高达14%~30%<sup>[36]</sup>。2017年一项回顾性研究结果显示,0.3%(351/125 740)的患者发生了术后早期呼吸系统并发症,而PACU内最低 $\text{SpO}_2 \leq 89\%$ 及氧疗时间 $\geq 60$  min是术后早期肺部并发症的独立预测因素<sup>[16]</sup>。Duan等<sup>[41]</sup>发现,术后发生低氧的老年( $\geq 65$ 岁)股骨颈骨折患者围手术期肺炎、谵妄、心律失常、多器官功能障碍的发生率及死亡率均明显高于未发生低氧者。从病理生理机制来看,全身麻醉接受机械通气的患者可能发生术后肺不张,其严重程度和持续时间可因术前并发症及手术创伤而增加<sup>[36,42]</sup>。例如,上腹部手术患者的功能残气量(functional residual capacity, FRC)通常在术后1~2 d达到最低值,并在5~7 d缓慢恢复<sup>[43]</sup>。此外,一项针对非胸部手术患者术后肺不张的研究发现,57%的患者存在肺不张的影像学证据,且术后第3天肺不张仍无明显缓解的迹象<sup>[44]</sup>。而接受体外循环下心脏手术的患儿,术后肺不张(13.0% vs. 3.0%,  $P=0.001$ )、胸腔积液(13.0% vs. 6.1%,  $P=0.036$ )和术后呼吸功能不全需要机械通气支持 $>7$  d(9.7% vs. 0%,  $P<0.001$ )的发生率在发生低氧的患儿中较未发生低氧的患儿更常见<sup>[45]</sup>。Xie等<sup>[46]</sup>的前瞻性研

究观察了接受非心脏手术的全身麻醉患者在PACU拔管后20 min内发生低氧( $\text{SpO}_2 < 92\%$ ,持续时间 $>30$  s)的情况,并通过床旁超声评估术后PPCs的发生情况;结果显示,低氧事件主要发生于腹部手术和胸腔镜手术患者,其次为骨科、神经外科及其他类型手术的患者;72.6%的患者被诊断为肺不张,24.8%的患者发生气胸,34.5%的患者出现胸腔积液,表明术后早期低氧与PPCs的发生存在明显相关性。另有研究发现,腹部手术患者术后第1天有6.5%出现低氧;术后第2天低氧发生率上升至13.95%,且53%的患者出现肺部并发症;术后第2天 $\text{PaO}_2$ 降低是PPCs的预测指标,其敏感度和特异度分别为94.12%和92.93%<sup>[47]</sup>。因此,术后低氧是肺部并发症的重要临床表现之一,即使PACU中发生短暂性低氧也与PPCs密切相关<sup>[48-49]</sup>,而预测严重PPCs发生的低氧特征仍待进一步研究。

**2.2 心血管系统并发症** 全球每年有超过2亿成人接受非心脏手术,其中超过1000万患者术后30 d内出现重大心血管并发症,由此导致的死亡占围手术期死亡的1/3,存活者则面临预后不良、住院时间延长、医疗费用增加等问题<sup>[50]</sup>。术后心肌缺血是非心脏手术后不良结局的重要预测指标,与心动过速密切相关,而偶发的心动过速可与间歇性低氧血症同时发生<sup>[51]</sup>,提示术后低氧可能是心血管系统并发症的诱发或加重因素。多项研究证实,术后低氧尤其是严重低氧和夜间低氧,与心肌缺血的发生密切相关<sup>[6,17-18,26]</sup>。Rosenberg等<sup>[17]</sup>发现,接受腹部大手术的患者术后 $\text{SpO}_2$ 值与心率呈负相关关系。Gill等<sup>[18]</sup>发现,心血管疾病患者的术后无症状心肌缺血事件与长时间(持续时间 $>5$  min)和严重( $\text{SpO}_2 < 85\%$ )低氧关系密切。另一项针对腹部大手术患者术后第2、3天夜间间歇性低氧的研究显示,52例患者中有50例发生了间歇性低氧和心动过速,19例发生了ST段改变(138次下移和126次抬高),且ST段下移相较抬高时氧饱和度降低、心率加快<sup>[26]</sup>。有研究发现,非心脏手术患者术后高敏肌钙蛋白水平升高不仅与术后30 d内死亡率及心肌缺血事件的发生风险增高相关<sup>[52]</sup>,还可能影响患者的长期预后<sup>[53-54]</sup>。在88例接受右半结肠切除术的患者中有11例(13%)术后 $\text{SpO}_2 < 88\%$ 的持续时间延长,且其肌钙蛋白I峰值升高超过15 ng/L<sup>[6]</sup>,提示低氧事件可能通过心肌氧供需失衡导致心肌损伤。一项前瞻性队列研究揭示了术后肌钙蛋白T峰值与术后30 d死亡率之间的相关性,发现二者呈明显的剂量-效应关系,肌钙蛋白T峰值 $\leq 0.01$  ng/ml、0.02 ng/ml、0.03~0.29 ng/ml和 $\geq 0.30$  ng/ml的患者术后30 d死亡率分别为1.0%、4.0%、9.3%和16.9%<sup>[55]</sup>。

综上,术后低氧与心肌缺血、心肌损伤及心律失常的发生密切相关,后续研究应关注对于心血管事件高危患者改善术后低氧能否减少心血管不良事件的发生。

**2.3 SSI** SSI是麻醉和手术后最常见的并发症之一。SSI患者的住院时间较未感染者延长5~20 d,再次入院或手术的风险明显增加,死亡率也明显升高<sup>[56-57]</sup>。自20世纪60年代Hunt等<sup>[58]</sup>首次提出SSI与术后低氧的潜在关联以来,这一领域的研究不断深入。Hopf等<sup>[59]</sup>监测术后6 h内及术后第1、2天的组织氧分压,发现组织氧合降低是SSI的强预测因子。有研究监测结肠直肠癌患者术后75 min(患者通常于术后1 h停止吸氧,加上15 min洗脱时间)的外侧上臂组织氧饱和度,发现组织氧饱和度降低可预测SSI,其截断值为66%<sup>[60]</sup>。一项针对重大非心脏手术后组织氧合与术后结局的观察性研究显示,术后30 d低氧相关并发症(死亡、深部切口感染、肺部并发症、卒中、急性心肌梗死等)总体发生率为19%,其中深部或器官间隙SSI发生率最高(12.9%),术中及术后2 h内监测的组织氧饱和度最小值与低氧相关并发症呈负相关( $P=0.02$ ),最低组织氧饱和度每提高5%,并发症发生风险降低18%<sup>[28]</sup>。一项随机对照试验根据术中及术后2 h的 $FiO_2$ 将500例接受结肠手术的患者分为高氧组( $FiO_2$ 为80%)和常规氧组( $FiO_2$ 为30%),结果显示,高氧组SSI发生率为5.2%,常规氧组为11.2%<sup>[56]</sup>。研究发现,氧气在伤口愈合过程中扮演着至关重要的角色,可促进血管生成和胶原蛋白合成、维持白细胞和成纤维细胞的功能活性以及调控生长因子和活性氧的生成<sup>[61]</sup>。不同原因造成的全身低氧可能加重创伤组织的缺氧,因为组织损伤导致血液循环障碍,加之损伤组织的耗氧量增加,进一步加剧局部缺氧,从而影响伤口愈合<sup>[61]</sup>。综上,术后低氧可能通过加重组织缺氧而增加切口感染的风险,合并糖尿病、营养不良等切口感染高危因素的患者是否更易在轻度或相对短时间低氧时发生SSI有待进一步研究。

### 3 总结与展望

术后早期低氧在临床中较为常见,相较于传统的间断监测,连续生命体征监测能够更早、更准确地识别术后早期低氧事件<sup>[62-64]</sup>。低氧事件的发生率因 $SpO_2$ 阈值的界定标准不同而异。识别术后早期低氧的意义在于早期干预与术后并发症相关的低氧事件,减少术后并发症的发生。因此,揭示有临床意义的术后低氧特征尤为重要。目前的研究显示,术后3 d内发生的低氧事件与呼吸系统并发症、心血管系统并发症以及SSI关系密切,尤其与肺不张、肌钙

蛋白I水平增高明显相关。然而,目前对于低氧程度(如 $SpO_2$ 阈值)及持续时间与特定并发症的关系尚不清楚。未来的研究应明确与上述并发症相关的术后早期低氧特征,如 $SpO_2$ 阈值的临界范围及持续时间是否可作为独立危险因素,进而探索具有针对性的治疗措施,以期改善高危患者的临床预后。

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